

**CX Futures Exchange, L.P**  
**Rule Amendment Submission #2021-06**  
**40.6 Certification**  
**November 12, 2021**

1. The text of the addendum to the CX Futures Exchange, L.P., Compliance Manual is appended as Confidential Attachment A, which is submitted under a Petition for Confidential Treatment. The proposed additions are underlined and appear in red.
2. The date of intended implementation of the proposed amendments is ten business days following the filing of this submission.
3. Attached, please find a certification that: (1) these amendments comply with the Commodity Exchange Act and the Commission's regulations thereunder; and (2) concurrent with this submission, CX Futures Exchange, L.P. ("CX") posted on its website a notice of this submission.
4. A concise explanation and analysis of the operation, purpose, and effect of the amended rules appear below.
5. There were no opposing views expressed regarding this amended rule.
6. Confidential treatment is requested.

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**CONCISE EXPLANATION AND ANALYSIS OF THE OPERATION, PURPOSE,  
AND EFFECT OF THE CERTIFIED RULE AND ITS COMPLIANCE WITH  
APPLICABLE PROVISIONS OF THE ACT, INCLUDING CORE PRINCIPLES  
AND THE COMMISSION'S REGULATIONS THEREUNDER**

Pursuant to Commission Rule 40.6(a)(7)(vi), the following is a concise explanation and analysis of the operation, purpose, and effect of the supplemental policy.

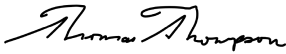
CX is adopting this amendment to the addendum to its Compliance Manual to include in its procedure for informing the Commission of changes to CX's Key Personnel additional timely steps that CX staff will take to inform staff of changes in CX's compliance personnel.

This document is submitted with a Petition for Confidential Treatment.

CERTIFICATIONS PURSUANT TO SECTION 5c OF THE COMMODITY  
EXCHANGE ACT, 7 U.S.C. §7A-2 AND COMMODITY FUTURES TRADING  
COMMISSION RULE 40.6, 17 C.F.R. §40.6

I hereby certify that:

- (1) the addendum to the Compliance Manual complies with the Commodity Exchange Act, and the Commodity Futures Trading Commission's regulations thereunder; and
- (2) concurrent with this submission, CX Futures Exchange, L.P. posted on its website a notice of pending certification of the above with the Commission.



By: Thomas Thompson  
Title: Chief Compliance Officer  
Date: November 12, 2021