

**FMX Futures Exchange, L.P. and  
CX Clearinghouse, L.P.  
Privacy Policy**





**As used in this Privacy Policy:**

“You”, “Your” and “Yourself” include you personally and your authorized traders and, if you are accessing and/or using the CX Sites and/or the App (as defined below) on behalf of the company or organization on whose behalf we grant you access, such company and organization and its authorized traders; “FMX Exchange” means FMX Futures Exchange, L.P.; “CX Clearinghouse” means CX Clearinghouse, L.P.; and “We,” “Us” or “Our” means, collectively, the FMX Exchange, CX Clearinghouse, and their affiliates.

**Why is a Privacy Policy important to me?**

We are firmly committed to respect the privacy of both Our current and former participants' and protect the security and confidentiality of those participants' non-public personal information that We collect when such participants use Our websites located at trading.cantorexchange.com and www.cantorexchange.com (collectively, the “CX Sites”), and Our mobile applications (the “App”). We maintain high standards to safeguard such non-public personal information and will remain vigilant in protecting that information and in using it in a fair and lawful manner. This Privacy Policy embodies Our commitment to its protection through adherence to fair electronic information practices. We are committed not to electronically process Your non-public personal information that we collect in any way that is incompatible with this Privacy Policy.

**What nonpublic personal information do FMX Exchange and CX Clearinghouse collect from me?**

In order for You to become a participant in the FMX Exchange and CX Clearinghouse and to access and use the CX Sites and/or the App to execute and clear transactions, We will need to collect and maintain certain non-public personal information about You:

- We collect and maintain information provided by You on Your application when You request to open an account with Us. Such information may include Your name, mailing address, phone number, email address, social security number, date of birth, bank account information and investment experience.
- After You created an account with Us, We collect and maintain information about Your transactions with Us. Such information may include Your account balance, trading activity and money movement into and out of Your account. This information helps Us administer Your account and provide You with better service.
- We may collect and maintain information about You from consumer reporting agencies in order to verify Your identity and ensure that no one is using Your information without Your authorization. Such information may include Your name, social security number, date of birth and address. We may also collect and maintain this information in accordance with certain state and federal regulations based on Our status as a designated contract market or “DCM” doing business within the United States.
- We may collect and maintain information that is provided by cookies. Our system may use cookies to assist Us in securing Your trading activities and to enhance your experience on the CX Sites.

(Cookies are small text files sent from the web server to Your computer.) The CX Sites use a common technique, HTTP-header cookies, to identify one page request from another. The cookies the CX Sites create do not contain any non-public personal information about You nor do they contain account or password information. They merely allow the CX Sites to recognize that a page request comes from someone who has already logged on. While Your web browser may allow You to “reject” cookies, the CX Sites requires that You accept all cookies in order for the CX Sites features and security mechanisms to function fully.

**What information are FMX Exchange and CX Clearinghouse disclosing about me to third parties?**

We take great care in protecting the security and confidentiality of Our participants' non-public personal information. Only a limited number of Our authorized personnel have access to Your non-public personal information. Such personnel may use such information only in connection with executing and clearing transactions that You initiate, and in administering and providing You with service relating to Your account, or as expressly authorized by You. We may disclose Your non-public personal information to certain nonaffiliated third parties that perform valuable services or functions on behalf of Us. We may share non-public personal information with nonaffiliated third parties only in the following circumstances:



- We may disclose information to companies that assist Us in processing all of the transactions that occur within Your account via the CX Sites and the App and on FMX Exchange and CX Clearinghouse.
- Information may also be disclosed to nonaffiliated third parties to the extent disclosure is necessary to comply with legal, judicial or regulatory processes or to protect the rights, property, or personal safety of FMX Exchange and CX Clearinghouse, its participants or the public.
- Information may also be disclosed about You to Your employer if You are employed by or affiliated with another exchange, regulatory or self-regulatory authority, or any entity that is regulated by a regulatory or self-regulatory authority including but not limited to the Financial Industry Regulatory Authority or the National Futures Association. Such entities may be required to monitor Your trading activity as defined under applicable securities and commodities laws.

When We share non-public personal information with a nonaffiliated third party performing services or functions on Our behalf, We generally enter into a contractual agreement with the third party requiring it to maintain the confidentiality of such information and prohibiting it from using or disclosing such information, except for the limited purpose of performing services or functions on Our behalf and to the persons for whom disclosure was intended and as otherwise permitted by law.

#### **Am I able to opt out?**

The law allows You to “opt out” of Our information sharing with certain third parties. This means that You can ask Us not to share Your non-public personal information with certain affiliated and nonaffiliated companies. We do not share Your non-public personal information with any third parties that trigger this opt out right. This means YOU ARE ALREADY OPTED OUT.

#### **What if I want to review or change any of my non-public personal information?**

Helping You keep Your information accurate is important to Us. If You are a participant or an authorized trader using the CX Sites or the App and have a concern about Your non-public personal or account information collected and maintained at FMX Exchange and CX Clearinghouse or want to correct, update, or confirm Your information, please email Us at [customerservice@cantorexchange.com](mailto:customerservice@cantorexchange.com).

For name changes, You will be required to mail Us the appropriate legal documentation evidencing the change along with a brief description of the reason for the change in order to assist in the protection of Your non-public personal information. Once the name change has been completed, We will send an email to the email address You provided for Your account telling You that Your name has been successfully changed. For bank information changes, an email will be sent to the current email address You provided for Your account confirming the changes made.

#### **How do Cantor Futures Exchange and Clearinghouse protect my non-public personal information?**

We have made a significant investment in leading-edge security software, systems, and procedures to offer Our current and former participants a safe and secure trading environment. We take precautions to protect the security and confidentiality of those participants’ non-public personal information. While no security system is absolutely impenetrable, We are constantly reviewing, refining and upgrading Our security technology, as new tools become available.

When You open an account with Us on the CX Sites or the App, You are asked to choose a unique username and password to access and secure Your account and account information. Only a limited number of Our employees who have a need to know this information will have access to Your username and password. Remember: You are ultimately responsible for maintaining the secrecy of Your username and password. We strongly recommend that You do not disclose this information. On Our trading portal, We also use technology to encrypt information transmitted by or to You through the CX Sites and/or the App. In order to activate this technology, You need to utilize a web browser such as Microsoft® Internet Explorer 7.0 or greater or Mozilla Firefox 3.0 or greater. We recommend that You choose a web browser with strong encryption capabilities.

#### **What if there are material changes made to this Privacy Policy?**

We will provide You with advance notice of material changes to this Privacy Policy or our information sharing practices so that You will have the opportunity to opt out of such disclosure.



**Can I contact you if I have additional questions regarding this Privacy Policy?**

Should You have any questions or concerns about this Privacy Policy, please email Us at [customerservice@cantorexchange.com](mailto:customerservice@cantorexchange.com)

**What is the Effective Date of this Privacy Policy?**

This Privacy Policy is effective as of June 28, 2022

**REMEMBER:**

- We do not sell Your non-public personal information to anyone;
- You are already opted out from sharing personal information with third parties;
- We are committed to protecting the security and confidentiality of Your personal information.